

**NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)**

☐ **Duplicate**  
(check, if applicable)

**MAIL STOP PATENT APPLICATION**

**Attorney Docket No.: 10499-64U6  
(795210104-03.110)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**First Named Inventor: Lawrence M. Pillion**  
**Express Mail Label No.: EV343986898US**  
**Total Pages of Transmittal Form: 2**

19270 U.S. PTO  
10/727869



Transmitted herewith for filing is the non-provisional utility patent application entitled:

**AIR FILTRATION DEVICE**

which is:

an ☐ Original; or

a ☒ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)  
of prior Application No. 10/288,646, filed November 4, 2002, which is a continuation of  
Application No. 10/176,835, filed June 21, 2002, which is a continuation of U.S. Patent No.  
6,447,581 B1, filed December 6, 2001, which is a continuation of U.S. Patent No. 6,328,791 B1,  
filed May 3, 2000.

Anticipated Group/Art Unit: 1724

☐ This non-provisional patent application is based on Provisional Patent Application No. \_\_\_\_\_,  
filed \_\_\_\_\_.

Enclosed are:

- ☒ Specification (including Abstract) and claims: **12** pages.
- ☐ Application Data Sheet.
- ☐ Newly executed/unexecuted Declaration (original/copy).
- ☒ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ 10 sheets of drawings (formal).
- ☐ Microfiche computer program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement
- ☐ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: **Hamilton Beach/Proctor-Silex, Inc.**
- ☐ Certified copy(ies) of \_\_\_\_\_ Application No(s). \_\_\_\_\_ filed \_\_\_\_\_ is/are filed:  
☐ herewith or ☐ in prior application \_\_\_\_\_.
- ☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37  
C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a  
Non-Profit Organization.
- ☒ Preliminary Remarks.
- ☒ Information Disclosure Statement, PTO/SB/08A/B, and cited references.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)
- ☐ Other:

The filing fee is calculated as follows:

|  |           |           | SMALL ENTITY |           |           | LARGE ENTITY |                  |
|--|-----------|-----------|--------------|-----------|-----------|--------------|------------------|
| CLAIMS   | NO. FILED | NO. EXTRA | BASIC FEE:   |           |           | BASIC FEE:   |                  |
|  |           |           | \$385.00     |           |           | \$770.00     |                  |
| Total  | 1 - 20 =  | 0         | X9           | \$        | OR        | X18          | \$ 0.00          |
| Independent  | 1 - 3 =   | 0         | X43          | \$        | OR        | X86          | \$ 0.00          |
| <input type="checkbox"/> Multiple Dependent Claims Present |           |           | \$145        | \$        | OR        | \$290        | \$               |
|  |           |           | <b>TOTAL</b> | <b>\$</b> | <b>OR</b> | <b>TOTAL</b> | <b>\$ 770.00</b> |

- ☐ The commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- ☒ A check in the amount of \$770.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 210499.0992)** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$ \_\_\_\_\_ as calculated above.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

#### CORRESPONDENCE ADDRESS

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December 4, 2003  
(Date)

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